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# Jacobson radical algebras with quadratic growth

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## Abstract

In this paper, it is shown that over every countable algebraically closed field  $\mathbb{K}$  there exists a finitely generated  $\mathbb{K}$ -algebra that is Jacobson radical, infinite dimensional, generated by two elements, graded, and has quadratic growth. We also propose a way of constructing examples of algebras with quadratic growth that satisfy special types of relations.

*2010 Mathematics subject classification:* 16N40, 16P90

*Key words:* Nil algebras, growth of algebras, Gelfand-Kirillov dimension

## Introduction

Algebras with linear growth were described by Small, Stafford and Warfield in [6]. In [3] (pp. 18) Bergman proved that algebras with growth function smaller than  $f(n) = \frac{n(n+1)}{2}$  have linear growth. What properties would algebras with a growth function close to  $f(n) = \frac{n(n+1)}{2}$  satisfy? Examples of primitive algebras with very small growth functions were constructed by

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Usi Vishne using Moorse trajectories [9]. In [1] Bartholdi constructed self-similar algebras with very small growth functions over the field  $\mathbb{F}_2$  which are graded nil. In fact, all algebras constructed in [1] are primitive and hence not Jacobson radical (as mentioned in [8]).

We will construct an example with growth function bounded above by  $n^2 + 4n + 3$  which are both infinite dimensional and Jacobson radical. It is unclear whether this algebra is nil. We will also present a way to construct other examples which are bounded above by the same growth function.

Recall that non-nil Jacobson radical algebras with Gelfand-Kirillov dimension two were constructed in [8], and nil algebras with Gelfand-Kirillov dimension not exceeding three were constructed in [5]. It is not known if there are nil algebras with quadratic growth, or more generally with Gelfand-Kirillov dimension two.

Our first main result is the following:

**Theorem 0.1.** *Let  $\mathbb{K}$  be an algebraically closed field. Let  $A = \mathbb{K}\langle x, y \rangle$  to be the free noncommutative algebra generated (in degree one) by the elements  $x, y$ . Let  $H(n) \subset A$  be the homogeneous subspace of degree  $n \geq 0$ . Finally, for any  $F \subseteq H(n)$ , let:*

$$\mathcal{E}(F) = \bigcap_{j=0}^{n-1} \sum_{k=0}^{\infty} H(kn + j)FA.$$

*For any sequence  $\{N_i\}_{i \in \mathbb{N}}$  of strictly increasing natural numbers and any sequence  $\{F_i\}_{i \in \mathbb{N}}$  of homogeneous subspaces such that  $F_i \subseteq H(2^{N_i})$  and  $\dim F_i < \frac{1}{2}(N_i - N_{i-1} + 1)$ , the quotient algebra  $A/\langle \mathcal{E}(F_i) \rangle_{i \in \mathbb{N}}$  can be homomorphically mapped onto an infinite dimensional graded algebra with quadratic or linear growth. Moreover, the dimension of this algebra's homogeneous subspace of dimension  $n$  would be bounded above by  $2n + 2$ .*

In other words, there's a graded ideal  $E \triangleleft A$  such that  $\bigcup_{i \in \mathbb{N}} \mathcal{E}(F_i) \subseteq E$  and  $A/E$  is infinite dimensional and has quadratic growth. Specifically,  $1 \leq H(n)/(E \cap H(n)) \leq 2n + 2$  for each  $n \geq 1$ . As a corollary we get the following result.

**Corollary 0.2.** *Over every countable, algebraically closed field  $\mathbb{K}$  there exists a finitely generated  $\mathbb{K}$  algebra that's Jacobson radical, infinite dimensional, generated by two elements, graded and has quadratic growth.*

We also propose a new way of constructing examples of algebras with quadratic growth satisfying special types of relations.

The general path of the proof is as follows:

- Subspaces  $U(2^n), V(2^n) \subseteq H(2^n)$  are constructed, depending on  $U(2^i), V(2^i)$  for  $i < n$ . This part bears resemblance to results from [4]. Properties that the  $V(2^n)$  spaces exhibit include  $V(2^{n-1})^2 \subseteq V(2^n)$  and  $\dim V(2^n) = 2$ , the latter being instrumental in establishing quadratic growth. We assure that sets  $\{F_i\}_{i \in \mathbb{N}}$  are contained in our sets  $U(2^n)$ .
- In section 3 we introduce ideal  $E$ , whose construction uses the sets  $U(2^n)$ , in order to arrive at our desired quotient  $A/E$ . Note that the ideal  $E$  is defined differently than in [4]. We then find an upper bound of the growth of  $A/E$ .
- In sections 4 and 5 we show that for some appropriate choice of sets  $\{F_i\}$ , the constructed algebra  $A/E$  is Jacobson radical.

We wrap up the proof of Theorem and its corollary in section 5.

## 1 Notation

In what follows,  $\mathbb{K}$  is a countable field and  $A = \mathbb{K}\langle x, y \rangle$  is the free  $\mathbb{K}$ -algebra in two non-commuting indeterminates  $x$  and  $y$ . The monomials in this algebra will be the products of the form  $x_1 \cdots x_n$ , with each  $x_i \in \{x, y\}$  (whereas the monomials *with coefficient* will be of the form  $kx_1 \cdots x_n$  with  $k \in \mathbb{K}$ ). The degree of a monomial is the length of this product. For any  $n \geq 0$ ,  $H(n)$  will denote the homogeneous subspace of degree  $n$ : the  $\mathbb{K}$ -space generated by the degree- $n$  monomials. Finally,  $\bar{A} = \sum_{n=1}^{\infty} H(n)$  will be the  $\mathbb{K}$ -space of polynomials with no constant term.

## 2 Constructing sets $U(2^n)$ and $V(2^n)$

Suppose we have a strictly increasing sequence of naturals  $\{N_i\}_{i=0}^\infty$  with  $N_0 = 1$  and a sequence of homogeneous subspaces  $\{F_i\}_{i=0}^\infty$  with each  $F_i \subseteq 2^{N_i}$  and  $F_0 = (0)$ .

In this section, we address the question: does there exist, for every  $i \geq 0$ , a subspace  $U_i \subset H(2^i)$  and two monomials (with non-zero coefficient)  $v_{i,1}, v_{i,2} \in H(2^i)$  such that, for each  $i \geq 0$ :

1.  $U_i \oplus \mathbb{K}v_{i,1} \oplus \mathbb{K}v_{i,2} = H(2^i)$ .
2. There exists a  $v \in \mathbb{K}v_{i,1} + \mathbb{K}v_{i,2}$  such that  $U_{i+1} = H(2^i)U_i + U_iH(2^i) + vH(2^i)$ .
3.  $F_i \subseteq U_{N_i}$ .

We will eventually set  $V_i = \mathbb{K}v_{i,1} + \mathbb{K}v_{i,2}$ , so that  $U_i \oplus V_i = H(2^i)$ .

We shall attack the problem with induction. For the base case, set  $U_0$  as an arbitrary subspace of  $H(1)$  with  $\dim U_0 = \dim H(1) - 2$ , and set  $v_{0,1}, v_{0,2}$  as two linearly independent monomials such that  $U_0 + \mathbb{K}v_{0,1} + \mathbb{K}v_{0,2} = H(1)$ .

For the inductive step, assume the existence of  $U_{N_i}, v_{N_i,1}, v_{N_i,2}$  for some  $i \geq 0$ , and find possible  $U_k, v_{k,1}, v_{k,2}$  for all  $N_i < k \leq N_{i+1}$ .

Let  $W \cong \mathbb{K}^{2(N_{i+1}-N_i)}$  be a  $\mathbb{K}$ -space with indices  $\{x_{k,1}, x_{k,2}\}_{k=N_i}^{N_{i+1}-1}$ , let  $W_k$  be the subspace of all elements where  $(x_{k,1}, x_{k,2}) = (0, 0)$ , and let  $\overline{W} = W \setminus \bigcup_{k=N_i}^{N_{i+1}-1} W_k$ .

Given some vector  $\vec{w} \in \overline{W}$ , define  $U_k(\vec{w}), v_{k,1}(\vec{w}), v_{k,2}(\vec{w})$  recursively for each  $N_i \leq k \leq N_{i+1}$ , as follows: first, set  $U_{N_i}(\vec{w}) = U_{N_i}$ ,  $v_{N_i,1}(\vec{w}) = v_{N_i,1}$ ,  $v_{N_i,2}(\vec{w}) = v_{N_i,2}$ .

Then, assuming  $U_k(\vec{w}), v_{k,1}(\vec{w}), v_{k,2}(\vec{w})$  are defined for some  $N_i \leq k < N_{i+1}$ :

$$U_{k+1}(\vec{w}) = H(2^k)U_k(\vec{w}) + U_k(\vec{w})H(2^k) + (x_{k,2}(\vec{w})v_{k,1}(\vec{w}) - x_{k,1}(\vec{w})v_{k,2}(\vec{w}))H(2^k).$$

If  $x_{k,1}(\vec{w}) \neq 0$ , set:

$$v_{k+1,1}(\vec{w}) = x_{k,1}(\vec{w})^{-1}v_{k,1}^2(\vec{w}),$$

$$v_{k+1,2}(\vec{w}) = x_{k,1}(\vec{w})^{-1}v_{k,1}(\vec{w})v_{k,2}(\vec{w}),$$

and if  $x_{k,1}(\vec{w}) = 0$ , then  $x_{k,2}(\vec{w}) \neq 0$ , so set:

$$v_{k+1,1}(\vec{w}) = x_{k,2}(\vec{w})^{-1}v_{k,2}(\vec{w})v_{k,1}(\vec{w}),$$

$$v_{k+1,2}(\vec{w}) = x_{k,2}(\vec{w})^{-1}v_{k,2}^2(\vec{w}).$$

For any  $\vec{w} \in \overline{W}$ , this clearly satisfies conditions (1-2).

**Lemma 2.1.** *For any  $N_i \leq k < N_{i+1}$ ,  $a, b \in \{1, 2\}$ ,  $\vec{w} \in \overline{W}$ ,*

$$v_{k,a}(\vec{w})v_{k,b}(\vec{w}) \in x_{k,a}(\vec{w})v_{k+1,b}(\vec{w}) + U_{k+1}(\vec{w})$$

*Proof.* If  $x_{k,1}(\vec{w}) \neq 0$ , and  $a = 1$ ,  $v_{k,a}(\vec{w})v_{k,b}(\vec{w}) = x_{k,a}(\vec{w})v_{k+1,b}(\vec{w})$ .

If  $x_{k,1}(\vec{w}) \neq 0$ , and  $a = 2$ ,

$$v_{k,a}(\vec{w})v_{k,b}(\vec{w}) = x_{k,a}(\vec{w})v_{k+1,b}(\vec{w}) + x_{k,1}(\vec{w})^{-1}(x_{k,2}(\vec{w})v_{k,1}(\vec{w}) - x_{k,1}(\vec{w})v_{k,2}(\vec{w}))v_{k,b}(\vec{w}).$$

If  $x_{k,1}(\vec{w}) = 0$  and  $a = 1$ ,

$$v_{k,a}(\vec{w})v_{k,b}(\vec{w}) = x_{k,2}(\vec{w})^{-1}(x_{k,2}(\vec{w})v_{k,1}(\vec{w}) - x_{k,1}(\vec{w})v_{k,2}(\vec{w}))v_{k,b}(\vec{w}).$$

And if  $x_{k,1}(\vec{w}) = 0$  and  $a = 2$ ,  $v_{k,a}(\vec{w})v_{k,b}(\vec{w}) = x_{k,2}(\vec{w})v_{k+1,b}(\vec{w})$ .  $\square$

Let  $P = \mathbb{K}[x_{k,1}, x_{k,2}]_{k=N_i}^{N_{i+1}-1}$ , i.e. the (commutative) algebra of polynomial functions  $W \rightarrow \mathbb{K}$ . Let  $Q = \prod_{k=N_i}^{N_{i+1}-1} (\mathbb{K}x_{k,1} + \mathbb{K}x_{k,2})^{2^{N_{i+1}-k-1}}$  be a homogeneous subspace of  $P$ .

**Theorem 2.2.** *For any sequence  $\{s_k\}_{k=1}^{2^{N_{i+1}-N_i}}$  of  $\{1, 2\}$ , there exists some  $p_s \in Q$  such that for any  $\vec{w} \in \overline{W}$ ,*

$$\prod_{k=1}^{2^{N_{i+1}-N_i}} v_{N_i, s_k} \in p_s(\vec{w})v_{N_{i+1}, s_{2^{N_{i+1}-N_i}}}(\vec{w}) + U_{N_{i+1}}(\vec{w}).$$

*Proof.* We will use induction to show that, for any  $0 \leq h \leq N_{i+1} - N_i$  and any sequence  $\{s_k\}_{k=1}^{2^h}$  of  $\{1, 2\}$ ,

$$\prod_{k=1}^{2^h} v_{N_i, s_k} \in \left( \prod_{j=0}^{h-1} \prod_{k=1}^{2^{h-j-1}} x_{N_i+j, s_{2^j(2k-1)}}(\vec{w}) \right) v_{N_i+h, s_{2^h}}(\vec{w}) + U_{N_i+h}(\vec{w}),$$

with the end result of the theorem proven when  $h = N_{i+1} - N_i$ .

The base case is simply  $v_{N_i, s_1} \in v_{N_i, s_1}(\vec{w}) + U_{N_i}(\vec{w})$ .

For the inductive step, let  $\{s_k\}_{k=1}^{2^{h+1}}$  be a sequence of  $\{1, 2\}$ , and assume the inductive statement is true for  $\{s_k\}_{k=1}^{2^h}$  and  $\{s_k\}_{k=2^h+1}^{2^{h+1}}$ . Lemma 2.1 shows that:

$$v_{N_i+h, s_{2^h}}(\vec{w}) v_{N_i+h, s_{2^h+1}}(\vec{w}) \in x_{N_i+h, s_{2^h}}(\vec{w}) v_{N_i+h+1, s_{2^h+1}}(\vec{w}) + U_{N_i+h+1}(\vec{w}).$$

Therefore,

$$\begin{aligned} \prod_{k=1}^{2^{h+1}} v_{N_i, s_k} &\in \left( \left( \prod_{j=0}^{h-1} \prod_{k=1}^{2^{h-j-1}} x_{N_i+j, s_{2^j(2k-1)}}(\vec{w}) \right) v_{N_i+h, s_{2^h}}(\vec{w}) + U_{N_i+h}(\vec{w}) \right) \cdot \\ &\quad \left( \left( \prod_{j=0}^{h-1} \prod_{k=1}^{2^{h-j-1}} x_{N_i+j, s_{2^j(2k-1)+2^h}}(\vec{w}) \right) v_{N_i+h, s_{2^h+1}}(\vec{w}) + U_{N_i+h}(\vec{w}) \right) \subseteq \\ &\quad \left( \prod_{j=0}^{h-1} \prod_{k=1}^{2^{h-j}} x_{N_i+j, s_{2^j(2k-1)}}(\vec{w}) \right) x_{N_i+h, s_{2^h}}(\vec{w}) v_{N_i+h+1, s_{2^h+1}}(\vec{w}) + U_{N_i+h+1}(\vec{w}) = \\ &\quad \left( \prod_{j=0}^h \prod_{k=1}^{2^{h-j}} x_{N_i+j, s_{2^j(2k-1)}}(\vec{w}) \right) v_{N_i+h+1, s_{2^h+1}}(\vec{w}) + U_{N_i+h+1}(\vec{w}). \end{aligned}$$

□

**Corollary 2.3.** *For any  $f \in H(2^{N_{i+1}})$ , there exists  $p, q \in Q$  such that  $\forall \vec{w} \in \overline{W}$ ,  $f \in p(\vec{w})v_{N_{i+1},1}(\vec{w}) + q(\vec{w})v_{N_{i+1},2}(\vec{w}) + U_{N_{i+1}}(\vec{w})$ .*

*Proof.* First, note that:

$$H(2^{N_{i+1}}) = (U_{N_i} + \mathbb{K}v_{N_i,1} + \mathbb{K}v_{N_i,2})^{2^{N_{i+1}-N_i}} =$$

$$(\mathbb{K}v_{N_i,1} + \mathbb{K}v_{N_i,2})^{2^{N_{i+1}-N_i}} + \sum_{k=1}^{2^{N_{i+1}-N_i}} H((k-1)2^{N_i})U_{N_i}H(2^{N_{i+1}} - k2^{N_i})$$

And for each  $f \in H(2^{N_{i+1}})$ , there exists a  $f' \in (\mathbb{K}v_{N_i,1} + \mathbb{K}v_{N_i,2})^{2^{N_{i+1}-N_i}}$  such that, for any  $\vec{w} \in \overline{W}$ ,  $f \in f' + U_{N_{i+1}}(\vec{w})$ .

Since  $f'$  can be written as a linear combination of the elements of the form  $\prod_{k=1}^{2^{N_{i+1}}} v_{N_i,s_k}$ , it's sufficient to prove the corollary over these elements, which is done in theorem 2.2.  $\square$

Let  $d = \dim F_{i+1}$ , let  $\{f_k\}_{k=1}^d$  be elements that generate  $F_{i+1}$ , and let  $\{p_k, q_k\} \subseteq Q$  be such that  $\forall \vec{w} \in \overline{W}$ ,  $f_k \in p_k(\vec{w})v_{N_{i+1},1}(\vec{w}) + q_k(\vec{w})v_{N_{i+1},2}(\vec{w}) + U_{N_{i+1}}(\vec{w})$ , as detailed in corollary 2.3. If there exists a  $\vec{w} \in \overline{W}$  such that each  $p_k(\vec{w}) = q_k(\vec{w}) = 0$ , then we can set  $(U_k, v_{k,1}, v_{k,2}) = (U_k(\vec{w}), v_{k,1}(\vec{w}), v_{k,2}(\vec{w}))$ , and condition (4) can be satisfied.

Let  $G = \sum_{k=1}^d \mathbb{K}p_k + \mathbb{K}q_k \subseteq Q$  be the vector space generated by  $\{p_k, q_k\}$ . Our remaining goal is to show  $\exists \vec{w} \in \overline{W} : G(\vec{w}) = (0)$ .

Let  $R$  be the algebra generated by  $Q$ , i.e.  $R = \sum_{k=1}^{\infty} Q^k$ .

**Lemma 2.4.** *If  $G, P$  are defined as above, then:*

$$R \cap GP \subseteq G + GR.$$

*Proof.* Let  $M$  be the set of all monomials of  $P$  (without coefficient). Let  $M_Q$  be the monomials that generate  $Q$ , let  $M_R = \bigcup_{j=1}^{\infty} M_Q^j$  be the monomials that generate  $R$ , and let  $M'_R = M \setminus (M_R \cup \{1\})$ .  $P$  can be decomposed:  $P = \mathbb{K} \oplus R \oplus \mathbb{K}M'_R$ .

Note that for any  $m \in M_Q$  and any  $m' \in M'_R$ ,  $mm' \in M'_R$ . As  $R$  is generated by monomials,  $R \cap QM'_R = (0)$ .

Let  $g \in G$ , and let  $p \in P$  have the decomposition  $p = k + r + s$ , with  $k \in \mathbb{K}$ ,  $r \in R$  and  $s \in \mathbb{K}M'_R$ . Suppose that  $gp \in R$ . Since  $gk + gr \in R$ ,  $gs \in R \cap QM'_R = (0)$ . Therefore,  $gp \in \mathbb{K}g + gR$ , and  $R \cap GP \subseteq G + GR$ .  $\square$

**Theorem 2.5.** *If  $\{\vec{w} \in W : G(\vec{w}) = (0)\} \subseteq W \setminus \overline{W} = \bigcup_{k=N_i}^{N_{i+1}-1} W_k$ , then  $d \geq \frac{1}{2}(N_{i+1} - N_i + 1)$ .*



*Proof.* Let  $Z$  be the affine variety function of  $P$ : if  $I \triangleleft P$  is an ideal, then  $Z(I) = \{\vec{w} \in W : I(\vec{w}) = (0)\}$ . It's our goal to show that if  $Z(GP) \subseteq \bigcup_{k=N_i}^{N_{i+1}-1} W_k$ , then  $d \geq \frac{1}{2}(N_{i+1} - N_i + 1)$ .

Since  $Q$  annihilates each  $W_k$ , it must annihilate  $Z(GP)$  as well. Hilbert's nullstellensatz states that since  $\mathbb{K}$  is algebraically closed, for each  $q \in Q$ , there must be an exponent  $q^\pi \in GP$ .

Using lemma 2.4,  $q^\pi \in R \cap GP \subseteq G + GR$ , and so the quotient algebra  $R/(G+GR)$  is nil. Since  $G^2 \subseteq GR$ ,  $R/GR$  is nil as well. All finitely generated commutative nil algebras are finite dimensional, so applying Lemma 3.2 in [2] several times gives  $2d \geq \text{GKdim } R$ . Recall that Lemma 3.2 [2] says that if  $R$  is a commutative finitely generated graded algebra of Gelfand-Kirillov dimension  $t$ , and  $I$  is a principal ideal generated by a homogeneous element then  $R/I$  has Gelfand-Kirillov dimension at least  $t - 1$ .

Remember that for any  $j \geq 0$ ,  $Q^j = \prod_{k=N_i}^{N_{i+1}-1} (\mathbb{K}x_{k,1} + \mathbb{K}x_{k,2})^{j2^{N_{i+1}-k-1}}$ , and:

$$\dim Q^j = \prod_{k=N_i}^{N_{i+1}-1} (j2^{N_{i+1}-k-1} + 1) \geq 2^{\frac{1}{2}(N_{i+1}-N_i-1)(N_{i+1}-N_i)} j^{N_{i+1}-N_i},$$

therefore  $\text{GKdim } R \geq N_{i+1} - N_i + 1$ . □

We can thus conclude that as long as  $\dim F_{i+1} < \frac{1}{2}(N_{i+1} - N_i + 1)$ , there is a  $\vec{w} \in \overline{W}$  such that  $G(\vec{w}) = 0$ , and we have appropriate spaces  $\{U_k\}$  and monomials  $\{v_{k,1}, v_{k,2}\}$  for all  $k \leq N_{i+1}$ . If this holds for all  $i \geq 0$ , the induction can proceed.

### 3 Constructing the ideal $E$

For any  $i \geq 0$ , let  $V_i = \mathbb{K}v_{i,1} + \mathbb{K}v_{i,2}$ , let  $v_i \in V_i$  be such that  $U_{i+1} = H(2^i)U_i + U_iH(2^i) + v_iH(2^i)$ , and let  $Q_i = U_i + \mathbb{K}v_i$ . If  $v_{i,1} \notin \mathbb{K}v_i$ , let  $W_i = \mathbb{K}v_{i,1}$ , otherwise,  $W_i = \mathbb{K}v_{i,2}$ . This way  $Q_i \oplus W_i = H(2^i)$ ,  $U_{i+1} = H(2^i)U_i + Q_iH(2^i)$ , and  $V_{i+1} = W_iV_i$ .

**Proposition 3.1.** *For any  $j > i$  and any  $k \leq 2^{j-i} - 1$ ,*

$$H(k2^i)U_iH(2^j - (k+1)2^i) \subseteq U_j$$

*Proof.* Apply induction on the value of  $j$  by using  $H(2^i)U_i + U_iH(2^i) \subseteq U_{i+1}$ .  $\square$

For any  $n > 0$ , let  $m \geq 0$  be maximal such that  $2^m \leq n$ , and define:

$$R(n) = \{x \in H(n) : xH(2^{m+1} - n) \subseteq U_{m+1}\}$$

$$L(n) = \{x \in H(n) : H(2^{m+1} - n)x \subseteq U_{m+1}\}$$

Also, set  $R(0) = L(0) = (0)$ .

**Proposition 3.2.** *For any  $n > 0$  and any  $M$  such that  $2^M > n$ ,*

$$R(n)H(2^M - n) \subseteq U_M$$

$$H(2^M - n)L(n) \subseteq U_M$$

*Proof.* Apply simple induction on  $M$ , using the fact that  $H(2^M)U_M + U_MH(2^M) \subseteq U_{M+1}$ .  $\square$

**Proposition 3.3.** *For any  $n > 0$ ,  $R(n)H(1) \subseteq R(n+1)$  and  $H(1)L(n) \subseteq L(n+1)$ .*

*Proof.* Let  $m \geq 0$  be maximal such that  $2^m \leq n$ . If  $2^{m+1} - 1 < n$ , then:

$$R(n)H(1) \cdot H(2^{m+1} - n - 1) = R(n)H(2^{m+1} - n) \subseteq U_{m+1},$$

and  $R(n)H(1) \subseteq R(n+1)$ .

If  $2^{m+1} - 1 = n$ , then:

$$R(n)H(1) \cdot H(2^{m+2} - n - 1) \subseteq U_{m+1}H(2^{m+1}) \subseteq U_{m+2},$$

and  $R(n)H(1) \subseteq R(n+1)$ .

By symmetry,  $H(1)L(n) \subseteq L(n+1)$ .  $\square$

Define the space  $R'(n) \subseteq H(n)$  recursively; if  $n = 0$ , set  $R(0) = \mathbb{K}$ , and otherwise,  $m$  be maximal such that  $2^m \leq n$  and set:

$$R'(n) = W_m R'(n - 2^m)$$

Note that  $\dim R'(n) = 1$ .

**Proposition 3.4.** *For any  $n \geq 0$ ,  $R(n) \oplus R'(n) = H(n)$ .*

*Proof.* Use induction on  $n$ . The base case  $n = 0$  is trivial.

For the inductive step,  $n \geq 0$ , let  $m$  be maximal such that  $2^m \leq n$ , and assume that  $R(n - 2^m) \oplus R'(n - 2^m) = H(n - 2^m)$ . Proposition 3.2 can be used to confirm that:

$$Q_m H(n - 2^m) \cdot H(2^{m+1} - n) = Q_m H(2^m) \subseteq U_{m+1},$$

$$H(2^m) R(n - 2^m) \cdot H(2^{m+1} - n) \subseteq H(2^m) U_m \subseteq U_{m+1},$$

$$R(n) + R'(n) \supseteq Q_m H(n - 2^m) + H(2^m) R(n - 2^m) + W_m R'(n - 2^m) = H(n).$$

Since  $\dim R'(n) = 1$ , either  $R(n) \oplus R'(n) = H(n)$  or  $R'(n) \subseteq R(n)$ . However, the latter option implies  $R(n) = H(n)$  and that  $H(n) \cdot H(2^{m+1} - n) \subseteq U_{m+1}$ , a clear contradiction. Therefore,  $R(n) \oplus R'(n) = H(n)$ .  $\square$

**Proposition 3.5.** *For any  $n \geq 0$ ,*

$$0 < \dim H(n)/L(n) \leq 2$$

*Proof.* Let  $m$  be maximal such that  $2^m \leq n$ .

If  $H(n)/L(n)$  were zero, then  $L(n) = H(n)$  and  $H(2^{m+1} - n)H(n) \subseteq U_{m+1}$ , a contradiction.

Using proposition 3.2,  $R(2^{m+1} - n)H(n) \subseteq U_{m+1}$ . By proposition 3.4,

$$L(n) = \{x \in H(n) : R'(2^{m+1} - n)x \in U_{m+1}\}$$

Let  $p \in H(2^{m+1} - n)$  be an element that generates  $R'(2^{m+1} - n)$ , and let  $\phi : H(n) \rightarrow H(2^{m+1})/U_{m+1}$  be the  $\mathbb{K}$ -linear transformation:

$$\phi : x \mapsto px/U_{m+1}$$

So that  $L(n) = \ker \phi$ . Since the image of  $\phi$  is at most dimension 2,  $\dim H(n)/L(n) \leq 2$ .  $\square$

Let  $L'(n) \subseteq H(n)$  be a space such that  $L(n) \oplus L'(n) = H(n)$ . Proposition 3.5 shows that  $\dim L'(n)$  is either 1 or 2.

Define the space  $E(n) \subseteq H(n)$  as:

$$E(n) = \bigcap_{i=0}^n L(i)H(n-i) + H(i)R(n-i)$$

**Lemma 3.1.** *For any  $n > 0$ ,  $E(n)H(1) + H(1)E(n) \subseteq E(n+1)$ .*

*Proof.* Using proposition 3.3,

$$\begin{aligned} E(n)H(1) &= \bigcap_{i=0}^n L(i)H(n-i) \cdot H(1) + H(i)R(n-i)H(1) \subseteq \\ &\bigcap_{i=0}^n L(i)H(n+1-i) + H(i)R(n+1-i). \end{aligned}$$

It remains to show that  $E(n)H(1) \subseteq L(n+1)H(0) + H(n+1)R(0) = L(n+1)$ .

Let  $m \geq 0$  be maximal such that  $2^m \leq n+1$ .

$$\begin{aligned} H(2^{m+1} - n - 1)E(n)H(1) &\subseteq \\ H(2^{m+1} - n - 1)L(n - 2^m + 1)H(2^m) + H(2^m)R(2^m - 1)H(1) &\subseteq \\ U_m H(2^m) + H(2^m)U_m &\subseteq U_{m+1} \end{aligned}$$

Therefore, by definition,  $E(n)H(1) \subseteq L(n+1)$ .

$H(1)E(n) \subseteq E(n+1)$  can be proven by symmetry. □

Let  $E = \sum_{n=1}^{\infty} E(n)$ .

**Theorem 3.2.**  *$E$  is an ideal of  $A$ .*

*Proof.* Apply lemma 3.1 to the definition of  $E$ . □

**Proposition 3.6.**  *$A/E$  is infinite dimensional.*

*Proof.*

$$\dim A/E = \sum_{n=1}^{\infty} \dim H(n)/E(n) > \sum_{n=1}^{\infty} \dim H(n)/R(n) = \sum_{n=1}^{\infty} \dim R'(n) = \infty$$

□

**Proposition 3.7.**  *$A/E$  has quadratic or linear growth.*

*Proof.* Using the fact that  $(L(i)H(n-i) + H(i)R(n-i)) \oplus L'(i)R'(n-i) = H(n)$ , and recalling proposition 3.5,

$$\dim H(n)/E(n) \leq \sum_{i=0}^n \dim L'(i)R'(n-i) \leq \sum_{i=0}^n 2 = 2(n+1),$$

$$\sum_{i=0}^n \dim H(i)/E(i) \leq n^2 + 3n + 1.$$

Proposition 3.6 shows algebra isn't finite dimensional. Bergman's Gap Theorem [3] proves that the only growths strictly less than quadratic are linear and finite, so  $A/E$  must have quadratic or linear growth.  $\square$

## 4 $E \supseteq \mathcal{E}(F_i)$

**Theorem 4.1.** *For any  $n > 0$ , let  $m$  be maximal such that  $2^m \leq n$ .*

$$\bigcap_{i=0}^{2^{m+1}-n} \{x \in H(n) : H(i)xH(2^{m+1}-n-i) \subseteq U_m H(2^m) + H(2^m)U_m\} \subseteq E(n).$$

*Proof.* It's sufficient to show that for any  $0 \leq i \leq 2^{m+1}-n$  and any  $x \in H(n)$  such that  $x \notin L(2^m-i)H(n-2^m+i) + H(2^m-i)R(n-2^m+i)$ ,

$$H(i)xH(2^{m+1}-n-i) \not\subseteq U_m H(2^m) + H(2^m)U_m.$$

$x$  can be uniquely decomposed into  $x_1 + x_L x_R$ , with:

$$x_1 \subseteq L(2^m-i)H(n-2^m+i) + H(2^m-i)R(n-2^m+i),$$

$$x_L \subseteq L'(2^m-i), \quad x_R \in R'(n-2^m+i)$$

Under our assumption,  $x_L x_R \neq 0$ . However,

$$H(i)x_1H(2^{m+1}-n-i) \in$$

$$H(i)L(2^m-i)H(2^m) + H(2^m)R(n-2^m+i)H(2^{m+1}-n-i) \subseteq$$

$$U_m H(2^m) + H(2^m) U_m$$

Therefore it's sufficient to show there exists  $y \in H(i)$  and  $z \in H(2^{m+1} - n - i)$  such that  $yx_L x_R z \notin U_m H(2^m) + H(2^m) U_m$ .

As  $x_L \notin L(2^m - i)$ , there must exist a  $y \in H(i)$  such that  $yx_L \notin U_m$ . Let  $yx_L = x_{LU} + x_{LV}$ , with  $x_{LU} \in U_m$  and  $0 \neq x_{LV} \in V_m$ . Symmetrically, there's a  $z \in H(2^{m+1} - n - i)$  with  $x_R = x_{RU} + x_{RV}$ ,  $x_{RU} \in U_m$ , and  $0 \neq x_{RV} \in V_m$ .

$$yx_L x_R z = x_{LU} x_R z + x_{LV} x_{RU} + x_{LV} x_{RV} \notin U_m H(2^m) + H(2^m) U_m$$

□

For any non-zero homogeneous space  $F \subseteq H(n)$ , let  $\mathcal{E}(F)$  denote the space:

$$\mathcal{E}(F) = \bigcap_{j=0}^{n-1} \sum_{k=0}^{\infty} H(kn + j) F A.$$

**Proposition 4.1.** *For any non-zero homogeneous space  $F \subseteq H(n)$ ,  $\mathcal{E}(F)$  is an ideal.*

*Proof.* By the definition, it's clear that  $\mathcal{E}(F)$  is right ideal. To prove it's a left ideal, it's sufficient to show that  $H(1)\mathcal{E}(F) \subseteq \mathcal{E}(F)$ .

$$\begin{aligned} H(1)\mathcal{E}(F) &= \bigcap_{j=0}^{n-1} \sum_{k=0}^{\infty} H(kn + j + 1) F A = \\ &= \bigcap_{j=1}^{n-1} \sum_{k=0}^{\infty} H(kn + j) F A \cap \sum_{k=0}^{\infty} H(kn + n) F A = \\ &= \bigcap_{j=1}^{n-1} \sum_{k=0}^{\infty} H(kn + j) F A \cap \sum_{k=1}^{\infty} H(kn) F A \subseteq \bigcap_{j=0}^{n-1} \sum_{k=0}^{\infty} H(kn + j) F A = \mathcal{E}(F). \end{aligned}$$

□

**Corollary 4.2.** *For any  $i \geq 0$ ,  $\mathcal{E}(F_i) \subseteq E$ .*

*Proof.* Since it's graded,  $\mathcal{E}(F_i)$  can be decomposed into homogeneous subspaces.

If  $n < 2^{N_i}$ ,  $\mathcal{E}(F_i) \cap H(n) = \emptyset$ , and if  $n \geq 2^{N_i}$ ,

$$\mathcal{E}(F_i) \cap H(n) = \bigcap_{j=0}^{n-1} \sum_{k=0}^{\lfloor (n-j)2^{-N_i}-1 \rfloor} H(k2^{N_i} + j)F_iH(n - (k+1)2^{N_i} - j)$$

Let  $n \geq 2^{N_i}$  and let  $m$  be maximal such that  $2^m \leq n$ . For any  $0 \leq j \leq 2^{m+1} - n$ ,

$$\begin{aligned} H(j)(\mathcal{E}(F_i) \cap H(n))H(2^{m+1} - n - j) &\subseteq \\ \sum_{k=1}^{\lfloor (n+j)2^{-N_i}-1 \rfloor} H(k2^{N_i})F_iH(2^{m+1} - (k+1)2^{N_i}) &\subseteq \\ H(k2^{N_i})U_{N_i}H(2^{m+1} - (k+1)2^{N_i}). \end{aligned}$$

Using proposition 3.1, this is contained in  $U_{m+1}$ , and so by theorem 4.1,  $\mathcal{E}(F_i) \cap H(n) \subseteq E(n)$ .  $\square$

## 5 Enumerating elements

To build a Jacobson radical homomorphic image through this method, we use a method very similar to used in Theorem 9 in [7], but readapted for our constraints. First, we require that the field  $\mathbb{K}$  be countable, so that we can enumerate the polynomials of  $\bar{A}$ . For each such  $f \in \bar{A}$ , we will find a  $g \in \bar{A}$  and a sufficiently "small"  $F$  such that  $f + g - fg \in \mathcal{E}(F)$ .

Let  $f \in \bar{A}$  be any polynomial with no constant term, and let  $d$  be minimal such that  $f \in \sum_{n=1}^d H(n)$ .  $f$  can be decomposed as  $f = f_{(1)} + \dots + f_{(d)}$  with each  $f_{(i)} \in F(i)$ . Recursively define the spaces  $s(n) \subseteq H(n)$  for each  $n \geq 0$  with:

- $s(0) = 1$ ,
- $s(n) = \sum_{i=1}^{\min\{n,d\}} f_{(i)}s(n-i)$  for  $n > 0$ .

This way,

$$s(n) = \sum_{k=0}^n \sum_{1 \leq i_1, \dots, i_k \leq d, i_1 + \dots + i_k = n} f_{(i_1)} \cdots f_{(i_k)}.$$

Lemma 8 from [8] can be used to prove a simple property:

**Lemma 5.1.** *For any  $m_1, m_2 \geq 0$  and any  $n \geq m_1 + m_2 + 2d$ ,*

$$s(n) \subseteq \sum_{a,b=1}^d H(m_1 + a)s(n - m_1 - m_2 - a - b + 1)H(m_2 + b - 1)$$

Using  $s$ , we can build our subspace  $F$ . Recall that  $|X|$  is the number of generators of  $A$ .

**Theorem 5.2.** *For any  $N \geq 2d$ , there exists a homogeneous subspace  $F \subseteq H(N)$  with  $\dim F \leq \left(\frac{|X|^d - 1}{|X| - 1}\right)^2$  and a polynomial  $g \in \bar{A}$  such that  $f + g - fg \in \mathcal{E}(F)$ .*

*Proof.* Let  $g = -\sum_{n=1}^{2N+d} s(n)$ , and let  $P$  be the two-sided ideal generated by  $\{s(2N+i)\}_{i=1}^d$ . By the recursive construction of  $s$ ,

$$\begin{aligned} g &= -\sum_{n=1}^{2N+d} s(n) = -\sum_{n=1}^{2N+d} \sum_{i=1}^{\min\{n,d\}} f_{(i)}s(n-i) = \\ &= -\sum_{n=1}^d f_{(n)} - \sum_{n=1}^{2N+d} \sum_{i=1}^{\min\{n-1,d\}} f_{(i)}s(n-i) = -f - \sum_{i=1}^d \sum_{n=i+1}^{2N+d} f_{(i)}s(n-i) = \\ &= -f - \sum_{i=1}^d \sum_{n=1}^{2N} f_{(i)}s(n) - \sum_{i=1}^d \sum_{n=2N+1}^{2N+d-i} f_{(i)}s(n) \in -f + fg + P \end{aligned}$$

Now, set  $F = \sum_{a,b=0}^{d-1} H(a)s(N-a-b)H(b)$ . It is our goal to show that  $P \subseteq \mathcal{E}(F)$ . Thanks to proposition 4.1, it is sufficient to show that for any  $1 \leq i \leq d$ ,  $s(2N+i) \in \mathcal{E}(F)$ . Consequently, it's sufficient to show that for any  $0 \leq j < N$ ,

$$s(2N+i) \in H(j)FH(N+i-j) = \sum_{a,b=0}^{d-1} H(j+a)s(N-a-b)H(N+i+b-j),$$

which can be extracted easily from lemma 5.1.

Finally, recall that  $\dim H(n) = |X|^n$ , where  $|X|$  is the number of generators of  $A$ .

$$\dim F \leq \sum_{a,b=0}^{d-1} \dim H(a)s(N-a-b)H(b) = \sum_{a,b=0}^{d-1} |X|^{a+b} = \left(\frac{|X|^d - 1}{|X| - 1}\right)^2.$$

□



In order to make our quotient algebra  $\bar{A}/E$  Jacobson radical, for every  $f \in \bar{A}$  there needs to be a  $g \in \bar{A}$  such that  $f + g - fg \in E$ . As  $\bar{A}$  is countable, we can make an enumeration  $f_1, f_2, \dots$ . For each  $f_m$ , let  $d_m$  be minimal such that  $f_m \in \sum_{n=1}^{d_m} H(n)$ . For any  $N_m \geq 1 + \log_2 d_m$ , theorem 5.2 can give us a  $g_m \in \bar{A}$  and an  $F_m \subseteq H(2^{N_m})$  such that  $f_m + g_m - f_m g_m \in \mathcal{E}(F_m)$  and  $\dim F_m \leq \left( \frac{|X|^{d_m} - 1}{|X| - 1} \right)^2$ .

If each  $\dim F_m < \frac{1}{2}(N_m - N_{m-1} + 1)$ , then we can construct the ideal  $E$  as detailed in section 3.  $A/E$  is infinite dimensional (proposition 3.6), has quadratic growth (because affine algebras with linear growth are PI by Small-Stafford-Warfield Theorem [6]) with each  $\dim H(n)/E(n) \leq 2(n + 1)$  (proposition 3.7), and contains each  $\mathcal{E}(F_m)$  (corollary 4.2). Fortunately, each  $N_m$  can be set arbitrarily high in relation to  $N_{m-1}$ . The needed upper bound of dimension of  $F_m$  depends on  $d_m$ ,  $|X|$ ,  $N_m$  and  $N_{m-1}$ , so if each  $N_m$  is set to  $\lceil \sup\{1 + \log_2 d_m, 2 \left( \frac{|X|^{d_m} - 1}{|X| - 1} \right)^2 + N_{m-1}\} \rceil$ , each  $F_m$  will be "small enough" for the construction of  $E$ .

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